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OMB Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC

Section

JUN 07 2016

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
First Trust Alternative Absolute Return Strategy ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
FAAR
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):



16000545

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Chief Regulatory Officer

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date:  
June 3, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rel.	19b-4(e)
Public Availability:	JUN 07 2016



SEC  
Mail Processing  
Section

JUN 07 2016

Washington DC  
412

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Chief Regulatory Officer  
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VIA FED EX

June 3, 2016

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

1. VMAX
2. VMIN
3. MILN
4. BFIT
5. LNGR
6. AGGE
7. AGGP
8. OVLC
9. CNDF
10. CNSF
11. ERGF
12. FNCF
13. HCRF
14. INDF
15. MATF
16. TCHF
17. UTLF
18. JPME
19. FAAR

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 07 2016

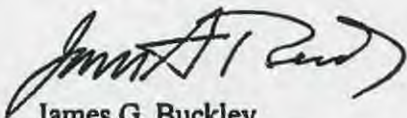


Ms. Gail Jackson  
June 3, 2016  
Page 2

- 20. IGRO
- 21. BMLA
- 22. AMJL
- 23. EPRF
- 24. DWFI

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

A handwritten signature in black ink, appearing to read "James G. Buckley", written over a horizontal line.

James G. Buckley  
Chief Regulatory Officer

Enclosures